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William J. Zak, Jr., Reg. No. 38,668

PATENT

Attorney Docket No.: H0001160

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Chien-Wei Li, et al.

Appln. No.: 09/990,640

Filing Date: November 21, 2001

For: ENVIRONMENTAL AND THERMAL

BARRIER COATING FOR CERAMIC

COMPONENTS

Group Art Unit: 1762

Examiner: Not Yet Assigned

TO TOO TOO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 CFR § 1.56 and in accordance with the practice under 37 CFR §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed. The cited documents were identified in a communication from a foreign patent office in a counterpart foreign application. For the Examiner's consideration, a copy of this communication, mailed July 15, 2002, is enclosed herewith. This Supplemental Information Disclosure Statement is not a representation that any or all of the information cited herein is necessarily effective as prior art against the subject application.

In accordance with 37 CFR § 1.97(c), because this Supplemental Information Disclosure Statement is being filed before the mailing date of a final action under § 1.113, a notice of

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allowance under § 1.311, or an action that otherwise closes prosecution in the application, and given the statement below under 37 CFR § 1.97(e), Applicants are entitled to consideration of the cited information.

In accordance with 37 CFR § 1.97(e)(1), Applicants hereby state that each item of information contained in this Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Applicants believe that neither a petition nor payment of a fee is necessary for consideration of the cited information. If, however, it is determined that a petition and/or payment of a fee is necessary for consideration of the cited information, Applicants respectfully request that this paper be treated as such petition and/or that such fee be charged to Deposit Account No. 01-1125. For example, if, unbeknownst to Applicants, this Supplemental Information Disclosure Statement is being filed after the mailing date of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, Applicants request that the required fee under § 1.17(p) be charged to Deposit Account No. 01-1125. Moreover, the Commissioner is explicitly authorized to charge Deposit Account No. 01-1125 for any other fee shortages.

Applicants respectfully request that the cited documents be considered by the Examiner, and that an initialed copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Date: 8/5/02

Respectfully submitted,

William J. Zak, Jr.

Attorney for Applicants

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